

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. 56510US004 (P01,0391 01)

First Named Inventor or Application Identifier

Ming-Lai Lai et al.

Express Mail Label No: EV 361386405 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 40
2. ☒ Drawing(s) (35 USC 113) Informal Total Pages 4
3. ☒ Declaration and Power of Attorney Total Pages 3
  - a. ☐ Newly executed(original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)  
**[Note Box 4 Below]**
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
filed in U.S. Serial No. 09/965,707
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity Status
12. ☐ Certified Copy of Priority Document(s)
13. ☐ Other: \_\_\_\_\_

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/965,707, Filed 9/26/2001

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4)RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS 20	53	33	\$18.00	\$594.00
INDEPENDENT CLAIMS 03	4	1	\$86.00	\$86.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
			TOTAL FILING FEE ->	\$1450.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501519. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 1450.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS - Customer Number: 32692  
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DATE: February 12, 2004

**CERTIFICATE OF MAILING**

“Express Mail” Mailing Label Number EV 361386405 US

Date of Deposit: February 12, 2004

I hereby certify that this correspondence is being deposited with the United States Postal “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to:

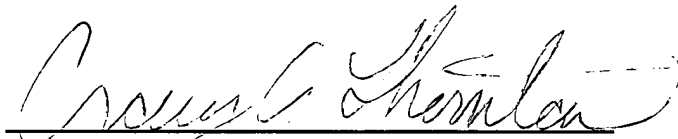
MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Case Number: P01,0391 01 (56510US004)

Inventor: Ming Lai Lai et al.

Continuation Patent Application entitled:

“USE OF FINITE ELEMENT ANALYSIS FOR ORTHODONTIC MECHANICS AND  
APPLIANCE SELECTION”

  
Signature of person mailing documents and fee